

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Allowed: November 20, 2000

CORR

Batch No. V33

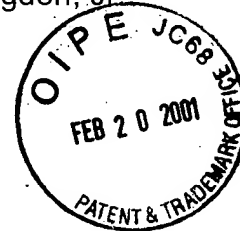
Serial No.: 08/976,658

Group Art Unit: 1751

Filed: November 24, 1997

Examiner: Ogden, Jr.

Title: WORKING FLUID COMPOSITION  
CONSISTING ESSENTIALLY OF A  
MIXTURE OF HYDROFLUORALKANES  
AS HEAT TRANSFER FLUID AND A  
PENTAERYTHRITOL- OR  
DIPENTAERYTHRIOL-BASED ESTER  
LUBRICANT



February 20, 2001

SUBMISSION UNDER RULE 312

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

The applicant wishes to make of record the following additional prior art  
which has come to attention with respect to foreign counterparts of the present  
application:

U.S. 5,053,155  
U.S. 5,037,570  
U.S. 4,971,712  
AU 13959/92  
AU 19873/92  
AU 23588/92  
AU 48557/90  
AU 74076/91  
AU 91433/91

EP 415778  
EP 379175  
EP 386851  
EP 400894  
EP 421765  
EP 536940  
JP 03170586  
JP 03170588  
AU 91738/91

#  
37  
3-13-01

The 1993 International CFC and HALON Alternatives Conference - Stratospheric Ozone Protection for the 1990's - a paper by Kelly A. Geiger presented 12 October 1993 and entitled "DuPont Suva AC9000 - A Developmental Alternative to HCFC-22 For New Equipment or Service"

Actes Congress International Froid 18<sup>th</sup> (1991) Vol. 2, pp. 881-887, "Alternative Refrigerants and Lubricants for Refrigeration Compressors", by S.G. Sundaresan which was publicly available at the DuPont Library on 15 August 1991

Brochure: An Introduction to Emkarate Refrigeration Lubricants

Paper: Ozone Protection and Commercial Refrigeration in the Supermarket Industry

The applicant does not consider the references relevant to the invention. However, the applicant would like to have the references available in the file for general information.

Copies of these documents are being obtained and will be submitted for the file.

Respectfully submitted,

Pillsbury Winthrop LLP



By \_\_\_\_\_

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